

EPA United States Environmental Protection Agency Washington, DC 20460 Work Assignment						Work Assignment Number 2-02				
						<input type="checkbox"/> Other <input type="checkbox"/> Amendment Number:				
Contract Number EP-D-11-006			Contract Period 04/29/2011 To 03/28/2013 Base Option Period Number 1			Title of Work Assignment/SF Site Name Developing Permits by Rule				
Contractor EASTERN RESEARCH GROUP, INC.					Specify Section and paragraph of Contract SOW B					
Purpose: <input checked="" type="checkbox"/> Work Assignment <input type="checkbox"/> Work Assignment Close-Out <input type="checkbox"/> Work Assignment Amendment <input type="checkbox"/> Incremental Funding <input type="checkbox"/> Work Plan Approval						Period of Performance From 03/29/2012 To 03/28/2013				
Comments: This work assignment includes 300 hours for preparation of the work plan and to begin work on the work assignment. To the best of my knowledge, this work does not duplicate any work previously performed or currently being performed by this office.										
<input type="checkbox"/> Superfund Accounting and Appropriations Data <input checked="" type="checkbox"/> Non-Superfund										
Note: To report additional accounting and appropriations data use EPA Form 1900-69A.										
SFO (Max 2) <input type="checkbox"/>										
Line	DCN (Max 6)	Budget/FY (Max 4)	Appropriation Code (Max 6)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class (Max 4)	Amount (Dollars)	(Cents)	Site/Project (Max 8)	Cost Org/Code (Max 7)
1										
2										
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Authorized Work Assignment Ceiling										
Contract Period:		Cost/Fee:				LOE: 0				
04/29/2011 To 03/28/2013										
This Action:						300				
Total:						300				
Work Plan / Cost Estimate Approvals										
Contractor WP Dated:				Cost/Fee:		LOE:				
Cumulative Approved:				Cost/Fee:		LOE:				
Work Assignment Manager Name Regina Chappell						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number 919-541-3650				
						FAX Number:				
Project Officer Name Margaret Dougherty						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number: 919-541-2344				
						FAX Number:				
Other Agency Official Name						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number:				
						FAX Number:				
Contracting Official Name Rodney-Daryl Jones						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number: 919-541-3112				
						FAX Number:				

I. TITLE: Developing Permits by Rule and General Permits for selected source categories in Indian Country
Contractor: ERG
Contract #: EP-D-11-006
WA #: 2-02

II. WORK ASSIGNMENT MANAGER (WAM):

Regina Chappell
C304-03
U.S. EPA
RTP, NC 27711
(919) 541-3650

Alternate WAM: Candace Carraway, (919) 541-3189, Mail Code: C304-03

III. BACKGROUND:

The EPA has finalized a Federal Implementation Plan (FIP) under the Clean Air Act (CAA or Act) for Indian country. The FIP includes two New Source Review (NSR) regulations for the protection of air resources in Indian country. The first rule applies to new and modified minor stationary sources (minor sources) and to minor modifications at existing major stationary sources (major sources) throughout Indian country. The second rule (nonattainment major NSR rule) applies to new and modified major sources in areas of Indian country that are designated as not attaining the National Ambient Air Quality Standards (NAAQS). These rules will be implemented by EPA, or a delegate tribal agency assisting EPA with administration of the rules, until replaced by an EPA-approved implementation plan.

General Permits (GP) and Permits by Rule (PBR) provide a simplified application and issuance process beneficial to qualified applicants and Reviewing Authorities. GPs and PBRs contain pre-determined control criteria and emission limitations for specific source categories. Participation in the program is voluntary.

Under 40 CFR 49.156(b), EPA finalized provisions for the general permits issuance process in Indian country. These provisions include, among other requirements, that the Reviewing Authority may issue a general permit for a category of emissions units or sources that are similar in nature, have substantially similar emissions, and would be subject to the same or substantially similar requirements governing operations, emissions, monitoring, reporting, and recordkeeping. "Similar in nature" refers to size, processes, and operating conditions.

EPA will be proposing provisions for a Permit by Rule program for use by tribes in Indian country. A permit by rule (PBR) is a provision of the rules under which a facility or source registers with the Reviewing Agency and meets the specific requirements for that type of source. Once registered, the facility is deemed to have a permit, thereby authorizing construction without the need to first obtain a Permit to Construct.

The purpose of the work assignment (WA) is to develop General Permits and Permit by Rules in specific source categories determined by the WAM for use by tribes in Indian country.

IV. STATEMENT OF WORK (SOW):

The Contractor shall provide technical support in developing a Permit by Rule (PBR) program and a General Permit (GP) program in source categories selected by the WAM for use by tribes in Indian country in support of the Tribal NSR Rule (Review of New Sources and Modifications in Indian Country). The WAM shall identify which source categories will receive PBRs and which will receive GPs. The technical expertise provided by the Contractor may include, but is not limited to, developing eligibility criteria, general terms and conditions, and source specific terms and conditions. This expertise may include, but is not limited to, such components as minimum and maximum emissions thresholds of NSR regulated pollutants; minimum and maximum throughput thresholds; emission control requirements; and maintenance, recordkeeping, and reporting requirements. The Contractor shall also develop documents including, but not limited to, initial screening questionnaires, permit applications, and permits for PBRs and GPs in source categories provided by the WAM. The Contractor shall provide documentation supporting the criteria selected to be used in each PBR and GP.

The WAM is authorized to provide technical direction in accordance with the contract. The Contractor shall not duplicate any work previously performed. The Contractor shall perform the following tasks:

Task 1: Prepare Work Plan

The Contractor shall prepare a work plan within 20 days of the effective date of the WA.

Task 2: Develop General Permits for Use by Tribes in Indian Country

The Contractor shall develop General Permits (GPs) for specific source categories as directed by the WAM. The WAM will initiate the process by providing technical direction, examples of state programs, and prioritization of work. The Contractor shall develop program eligibility criteria for General Permits (GP) in designated source categories. The Contractor shall also develop an initial screening questionnaire; permit application form, general permit, and other documents as directed by the WAM. The Contractor shall provide documentation supporting the criteria selected to be used in each GP. The Contractor shall revise the GPs and supporting documentation based on comments received the WAM and as instructed by the WAM.

Deliverables under Task 2

- | | | |
|----|-------------------|--|
| 2a | Drafts of two GPs | Within 4 weeks of receiving two source categories specified by the WAM |
| 2b | Revised two GPs: | Within 2 weeks of receiving revisions from WAM |

2c Drafts of two GPs:	After completing first set of GPs, contractor will provide drafts of second set of GPs within 4 weeks of receiving two source categories
2d Revised two GPs:	Within 2 weeks of receiving revisions of second set of GPs from WAM
2e Drafts of two GPs:	After completing second set of GPs, contractor will provide drafts of third set of GPs within 4 weeks of receiving two source categories
2f Revised two GPs:	Within 2 weeks of receiving revisions of third set of GPs from WAM
2g Final QA Report:	At conclusion of project

Task 3: Develop Permits by Rule for Use by Tribes in Indian Country

The Contractor shall develop Permits by Rule (PBRs) for specific source categories as directed by the WAM. The WAM will initiate the process by providing technical direction, examples of state programs, and prioritization of work. The Contractor shall develop eligibility criteria for each designated source category. The Contractor shall also develop an initial screening questionnaire, PBR application, actual PBR, and other documents as directed by the WAM. The Contractor shall provide documentation supporting the criteria selected to be used in each PBR. The Contractor shall revise the PBRs and supporting documentation based on comments received from the WAM and as instructed by the WAM.

Deliverables under Task 3

3a Drafts of two PBRs	Within 4 weeks of receiving two source categories specified by the WAM
3b Revised two PBRs:	Within 2 weeks of receiving revisions from WAM
3c Drafts of two PBRs	After completing first set of PBRs, contractor will provide drafts of second set of PBRs within 4 weeks of receiving two source categories
3d Revised two PBRs:	Within 2 weeks of receiving revisions of second set of PBRs from WAM
3e Final QA Report	At conclusion of project

Task 4: Meeting Support

Upon the request of the WAM, the Contractor shall participate in conference calls with the CTPG/OAQPS Tribal Team to review and discuss the development of the PBRs and GPs. In addition, the Contractor shall attend meetings to be held on the EPA campus in Research Triangle Park, NC, for the same purpose, as directed by the WAM.

V. QUALITY ASSURANCE (QA) REQUIREMENTS

It is the policy of OAQPS that within the constraints of available resources, QA activities shall be conducted to assure environmental data generated, processed or used for its program requirements will be of known quality and will achieve prescribed data quality objectives. Furthermore, the data will be adequate and sufficient for their intended use. If the Contract/Work Assignment (project) involves one or more environmental data operations (EDO is defined as “work performed to obtain, use or report information pertaining to environmental processes and conditions,”) then the Contractor shall be in compliance with the EPA Quality Manual for Environmental Programs 5360 A1, May 5 2000 and The American National Standard-Specifications and Guidelines for Environmental Data Collection and Environmental Technology Programs (ANSI/ASQC-E4-1994). The quality assurance policy of EPA requires every EDO to have a written and approved quality assurance project plan (QAPP) prior to the start of the EDO. (NOTE: OAQPS utilizes a four tiered project category approach to its QA Program in order to focus QA. Category I involves the most stringent QA approach, whereas Category IV is the least stringent.)

This project fits within Category IV. The contractor will document the sources of information used in determining eligibility and programmatic criteria in a QA Final Report.

VI. REPORTING REQUIREMENTS:

All reports shall be in accordance with contract specifications. In addition, the Contractor shall provide the WAM with draft versions of the deliverables for this WA, and shall revise those versions in response to comments from the WAM. Final versions shall be delivered in hard copy as well as in electronic format through electronic mail or on CDs or DVDs. Electronic files shall be delivered in one of the following software programs: Word (2003 or newer version) and Adobe Acrobat for documents, Excel (2003 or newer version) for spreadsheet files, and PowerPoint (2003 or newer version) for presentations.

EPA United States Environmental Protection Agency Washington, DC 20460 Work Assignment						Work Assignment Number 2-02				
						<input type="checkbox"/> Other <input checked="" type="checkbox"/> Amendment Number: 000001				
Contract Number EP-D-11-006			Contract Period 04/29/2011 To 03/28/2013			Title of Work Assignment/SF Site Name				
			Base Option Period Number 1			Developing Permits by Rule				
Contractor EASTERN RESEARCH GROUP, INC.					Specify Section and paragraph of Contract SOW					
Purpose: <input type="checkbox"/> Work Assignment <input type="checkbox"/> Work Assignment Close-Out <input checked="" type="checkbox"/> Work Assignment Amendment <input type="checkbox"/> Incremental Funding <input type="checkbox"/> Work Plan Approval					Period of Performance From 04/01/2012 To 03/28/2013					
Comments: The work plan dated 05/01/12 has been reviewed and we concur with the labor mix, technical hours (436), ODCs, total estimated costs \$40,904 and completion date 03/31/13. This document is IAW the CMM 7.3.5.1(D).										
<input type="checkbox"/> Superfund Accounting and Appropriations Data <input checked="" type="checkbox"/> Non-Superfund										
Note: To report additional accounting and appropriations date use EPA Form 1900-69A.										
SFO <input type="checkbox"/> (Max 2)										
Line	DCN (Max 6)	Budget/FY (Max 4)	Appropriation Code (Max 6)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class (Max 4)	Amount (Dollars)	(Cents)	Site/Project (Max 8)	Cost Org/Code (Max 7)
1										
2										
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Authorized Work Assignment Ceiling										
Contract Period:		Cost/Fee:				LOE: 300				
04/29/2011 To 03/28/2013										
This Action:						136				
Total:						436				
Work Plan / Cost Estimate Approvals										
Contractor WP Dated:				Cost/Fee:		LOE:				
Cumulative Approved:				Cost/Fee:		LOE:				
Work Assignment Manager Name Regina Chappell						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number 919-541-3650				
						FAX Number:				
Project Officer Name Margaret Dougherty						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number: 919-541-2344				
						FAX Number:				
Other Agency Official Name						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number:				
						FAX Number:				
Contracting Official Name Rodney-Daryl Jones						Branch/Mail Code:				
_____ (Signature) (Date)						Phone Number: 919-541-3112				
						FAX Number:				

EPA United States Environmental Protection Agency Washington, DC 20460 Work Assignment						Work Assignment Number 2-02	
						<input type="checkbox"/> Other <input checked="" type="checkbox"/> Amendment Number: 000002	
Contract Number EP-D-11-006		Contract Period 04/29/2011 To 03/28/2013		Title of Work Assignment/SF Site Name			
		Base Option Period Number 1		Developing Permits by Rule			
Contractor EASTERN RESEARCH GROUP, INC.				Specify Section and paragraph of Contract SOW			
Purpose: <input type="checkbox"/> Work Assignment <input type="checkbox"/> Work Assignment Close-Out <input checked="" type="checkbox"/> Work Assignment Amendment <input type="checkbox"/> Incremental Funding <input type="checkbox"/> Work Plan Approval				Period of Performance From 04/01/2012 To 03/28/2013			
Comments: The purpose of this work change is to add additional tasks to the WA as per the attachment and increase the hours by 300 to begin work on these tasks. The contractor shall submit a revise WP and cost estimate. This document is IAW the CMM 7.3.5.1(D)							
<input type="checkbox"/> Superfund				Accounting and Appropriations Data			
				<input checked="" type="checkbox"/> Non-Superfund			
Note: To report additional accounting and appropriations data use EPA Form 1900-69A.							
SFO <input type="checkbox"/> (Max 2)							
Line	DCN (Max 6)	Budget/FY (Max 4)	Appropriation Code (Max 6)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class (Max 4)	Amount (Dollars) (Cents) Site/Project (Max 8) Cost Org/Code (Max 7)
1							
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Authorized Work Assignment Ceiling							
Contract Period:		Cost/Fee:		LOE:			
04/29/2011 To 03/28/2013							
This Action:							
Total:							
Work Plan / Cost Estimate Approvals							
Contractor WP Dated:		Cost/Fee:		LOE:			
Cumulative Approved:		Cost/Fee:		LOE:			
Work Assignment Manager Name Regina Chappell						Branch/Mail Code:	
_____ (Signature) (Date)						Phone Number 919-541-3650	
						FAX Number:	
Project Officer Name Margaret Dougherty						Branch/Mail Code:	
_____ (Signature) (Date)						Phone Number: 919-541-2344	
						FAX Number:	
Other Agency Official Name						Branch/Mail Code:	
_____ (Signature) (Date)						Phone Number:	
						FAX Number:	
Contracting Official Name Rodney-Daryl Jones						Branch/Mail Code:	
_____ (Signature) (Date)						Phone Number: 919-541-3112	
						FAX Number:	

TITLE: Developing Permits by Rule and General Permits for selected source categories in Indian Country

Contractor: ERG

Contract #: EP-D-11-006

WA #: 2-02 Change 3

Additional Tasks

The Contractor shall develop General Permits (GPs) for additional specific source categories when a technical direction from the WAM is received. The current work assignment consists of developing GPs for the first five source categories; rock crushing, hot mix asphalt, auto body repair, petroleum dry cleaners and gasoline dispensing facilities. At a minimum, the new task will consist of the following two source categories: oil and gas and engines. The WAM will initiate the process by providing technical direction, examples of state programs and prioritization of work. The Contractor shall develop program eligibility criteria for GPs in designated source categories. The Contractor shall also develop an initial screening questionnaire; permit application form, general permit and other documents as directed by the WAM. The Contractor shall provide documentation supporting the criteria selected to be used in each GP. The Contractor shall revise the GPs and supporting documentation based on comments received from the WAM.

1. Develop Permits by Rule for Use by Tribes in Indian Country

The Contractor shall develop Permits by Rule (PBRs) for additional specific source categories when a technical direction from the WAM is received. The current work assignment consisted of the first five source categories; rock crushing, hot mix asphalt, auto body repair, petroleum dry cleaners and gasoline dispensing facilities. The new task may consist of (but may not be limited to) the following source categories: engines and a generic (catch-all) permit by rule. The WAM will initiate the process by providing technical direction, examples of state programs and prioritization of work. The Contractor shall develop eligibility criteria for each designated source category. The Contractor shall also develop an initial screening questionnaire, PBR application, actual PBR and other documents when a technical direction from the WAM is received. The Contractor shall provide documentation supporting the criteria selected to be used in each PBR. The Contractor shall revise the PBRs and supporting documentation based on comments received from the WAM.

2. Support Development of General Permits/Permits by Rule Developed by the EPA for Use by Tribes in Indian Country

The Contractor shall support development of GPs/PBRs that EPA develops. The current work assignment consists of the first five source categories; rock crushing, hot mix asphalt, auto body repair, petroleum dry cleaners and gasoline dispensing facilities. The new task may consist of (but may not be limited to) the following source category: boilers and generic (catch-all) permit by rule. The WAM will initiate the process by providing technical direction and prioritization of work. As needed, the Contractor shall develop program eligibility criteria for GPs/PBRs in designated source categories. As needed, the Contractor shall also develop an initial screening questionnaire, permit application form, GP/PBR and other documents when a technical direction from the WAM is received. As needed, the Contractor shall provide documentation supporting the criteria selected to be used in each GP/PBR. As needed, the Contractor shall revise the GPs/PBRs and supporting documentation based on comments received from the WAM. Additional support could include such work as national emissions inventory (NEI) analysis and could also include additional work that may be required on the first five source categories.

EPA United States Environmental Protection Agency Washington, DC 20460 Work Assignment						Work Assignment Number 2-02				
						<input type="checkbox"/> Other <input checked="" type="checkbox"/> Amendment Number: 000003				
Contract Number EP-D-11-006			Contract Period 04/29/2011 To 03/31/2013			Title of Work Assignment/SF Site Name				
			Base Option Period Number 1			Developing Permits by Rule				
Contractor EASTERN RESEARCH GROUP, INC.					Specify Section and paragraph of Contract SOW					
Purpose: <input type="checkbox"/> Work Assignment <input type="checkbox"/> Work Assignment Close-Out <input checked="" type="checkbox"/> Work Assignment Amendment <input type="checkbox"/> Incremental Funding <input type="checkbox"/> Work Plan Approval					Period of Performance From 04/01/2012 To 03/28/2013					
Comments: See Attached. This action is in accordance with CMM 7.3.5.1(D).										
<input type="checkbox"/> Superfund Accounting and Appropriations Data <input checked="" type="checkbox"/> Non-Superfund										
Note: To report additional accounting and appropriations data use EPA Form 1900-69A.										
SFO (Max 2) <input type="checkbox"/>										
Line	DCN (Max 6)	Budget/FY (Max 4)	Appropriation Code (Max 6)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class (Max 4)	Amount (Dollars)	(Cents)	Site/Project (Max 8)	Cost Org/Code (Max 7)
1										
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Authorized Work Assignment Ceiling										
Contract Period:		Cost/Fee:			LOE:					
04/29/2011 To 03/31/2013										
This Action:										
Total:										
Work Plan / Cost Estimate Approvals										
Contractor WP Dated:				Cost/Fee:			LOE:			
Cumulative Approved:				Cost/Fee:			LOE:			
Work Assignment Manager Name Regina Chappell							Branch/Mail Code:			
_____ (Signature) (Date)							Phone Number 919-541-3650			
							FAX Number:			
Project Officer Name Karen C. Watson							Branch/Mail Code:			
_____ (Signature) (Date)							Phone Number: 919-541-3114			
							FAX Number:			
Other Agency Official Name							Branch/Mail Code:			
_____ (Signature) (Date)							Phone Number:			
							FAX Number:			
Contracting Official Name Rodney-Daryl Jones							Branch/Mail Code:			
_____ (Signature) (Date)							Phone Number: 919-541-3112			
							FAX Number:			

ERG

EP-D-11-006

WA 2-02, Amendment 3

“Developing Permits by Rule”

This action adds additional hours pending the receipt of an acceptable work plan/cost estimate for Tasks added by Amendment 2.

In Amendment 2, 300 hours were added for work to begin. This amendment adds an additional 200 hours for work to continue. The total hours authorized is now 966.

The work plan/cost estimate dated 12/7/12 did not provide sufficient hours to complete the added tasks. Please provide a work plan/cost estimate for ALL hours required to complete the tasks through the end of the work assignment period (3/28/12).

EPA United States Environmental Protection Agency Washington, DC 20460 Work Assignment						Work Assignment Number 2-02				
						<input type="checkbox"/> Other <input checked="" type="checkbox"/> Amendment Number: 000004				
Contract Number EP-D-11-006			Contract Period 04/29/2011 To 03/31/2014 Base <input checked="" type="checkbox"/> Option Period Number			Title of Work Assignment/SF Site Name				
Contractor EASTERN RESEARCH GROUP, INC.					Specify Section and paragraph of Contract SOW					
Purpose: <input type="checkbox"/> Work Assignment <input type="checkbox"/> Work Assignment Close-Out <input checked="" type="checkbox"/> Work Assignment Amendment <input type="checkbox"/> Incremental Funding <input type="checkbox"/> Work Plan Approval						Period of Performance From 04/01/2012 To 03/31/2013				
Comments: This Work Assignment Change extends the Period of Performance to read:03/31/2013 in accordance with the Period of Performance end date of Option Period I. This amendment is in accordance with CMM 7.3.5.1 (D)										
<input type="checkbox"/> Superfund Accounting and Appropriations Data <input checked="" type="checkbox"/> Non-Superfund										
Note: To report additional accounting and appropriations date use EPA Form 1900-69A.										
SFO <input type="checkbox"/> (Max 2)										
Line	DCN (Max 6)	Budget/FY (Max 4)	Appropriation Code (Max 6)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class (Max 4)	Amount (Dollars)	(Cents)	Site/Project (Max 8)	Cost Org/Code (Max 7)
1										
2										
3										
4										
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Authorized Work Assignment Ceiling										
Contract Period:		Cost/Fee:			LOE:					
04/29/2011 To 03/31/2014										
This Action:										
Total:										
Work Plan / Cost Estimate Approvals										
Contractor WP Dated:				Cost/Fee:			LOE:			
Cumulative Approved:				Cost/Fee:			LOE:			
Work Assignment Manager Name Regina Chappell <div style="display: flex; justify-content: space-between;"> <div>_____ (Signature)</div> <div>_____ (Date)</div> </div>							Branch/Mail Code: Phone Number 919-541-3650 FAX Number:			
Project Officer Name Karen C. Watson <div style="display: flex; justify-content: space-between;"> <div>_____ (Signature)</div> <div>_____ (Date)</div> </div>							Branch/Mail Code: Phone Number: 919-541-3114 FAX Number:			
Other Agency Official Name <div style="display: flex; justify-content: space-between;"> <div>_____ (Signature)</div> <div>_____ (Date)</div> </div>							Branch/Mail Code: Phone Number: FAX Number:			
Contracting Official Name Rodney-Daryl Jones <div style="display: flex; justify-content: space-between;"> <div>_____ (Signature)</div> <div>_____ (Date)</div> </div>							Branch/Mail Code: Phone Number: 919-541-3112 FAX Number:			